

## Solutions to TD9

### Reminder:

A *pushdown system (PDS)* is a triple  $\mathcal{P} = (P, \Gamma, \Delta)$ , where  $P$  is a finite set of *control states*,  $\Gamma$  is a finite *stack alphabet*, and  $\Delta \subseteq (P \times \Gamma) \times (P \times \Gamma^*)$  is a finite set of *rules*. We write  $pA \hookrightarrow qw$  when  $((p, A), (q, w)) \in \Delta$ . We associate with a PDS  $\mathcal{P}$  and an initial configuration  $c_0 \in P \times \Gamma^*$  the transition system  $\mathcal{T}_{\mathcal{P}} = (Con(\mathcal{P}), \rightarrow, c_0)$ , where  $Con(\mathcal{P}) = P \times \Gamma^*$  is the set of *configurations*, and  $pAw' \rightarrow qww'$  for all  $w' \in \Gamma^*$  iff  $pA \hookrightarrow qw \in \Delta$ . We write  $pw \Rightarrow p'w'$  if there is a path from  $pw$  to  $p'w'$  in  $\mathcal{T}_{\mathcal{P}}$ .

Let  $\mathcal{P}$  be a PDS. A  $\mathcal{P}$ -*automaton* is a finite automaton  $\mathcal{A} = (Q, \Gamma, P, T, F)$ , where the alphabet of  $\mathcal{A}$  is the stack alphabet  $\Gamma$ , and the initial states of  $\mathcal{A}$  are the control states  $P$ . It is *normalized* if there are no transitions leading into initial states. We say that  $\mathcal{A}$  *accepts* the configuration  $pw$  if  $\mathcal{A}$  has a path labelled by input  $w$  starting at  $p$  and ending at some final state. We denote by  $\mathcal{L}(\mathcal{A})$  be the set of configurations accepted by  $\mathcal{A}$ . A set  $C$  of configurations is called *regular* if there is some  $\mathcal{P}$ -automaton  $\mathcal{A}$  with  $\mathcal{L}(\mathcal{A}) = C$ .

Given a set  $C$  of configurations of  $\mathcal{P}$ , we let

$$pre^*(C) = \{c' \mid \exists c \in C : c' \Rightarrow c\} \quad post^*(C) = \{c' \mid \exists c \in C : c \Rightarrow c'\}$$

If  $C$  is regular, then so are  $pre^*(C)$  and  $post^*(C)$ . If  $\mathcal{A}$  is a normalized  $\mathcal{P}$ -automaton accepting  $C$ ,  $\mathcal{A}$  can be transformed into an automaton accepting  $pre^*(C)$  by applying the following saturation rule until no transition can be added:

If  $q \xrightarrow{w} r$  currently holds in  $\mathcal{A}$  and  $pA \hookrightarrow qw$  is a rule in  $\mathcal{P}$ , then add the transition  $(p, A, r)$  to  $\mathcal{A}$ .

The procedure for  $post^*(C)$  is similar.

## 1 Labelled Pushdown Systems

1. Let  $\mathcal{A}_L = (Q, \Sigma, \Delta_L, I, F)$  be the finite automaton which recognises language  $L$ . We consider the product of  $\mathcal{A}_L$  and  $\mathcal{P} = (P, \Gamma, \Delta, \Sigma)$  and obtain a new pushdown system  $\mathcal{P}' = (P \times Q, \Gamma, \Delta', \Sigma)$ , where  $\Delta'$  is defined using the following rule:

$$(p_1, q_1)A \xrightarrow{a} (p_2, q_2)w \text{ in } \mathcal{P}' \text{ if and only if } p_1A \xrightarrow{a} p_2w \text{ in } \mathcal{P} \text{ and } q_1 \xrightarrow{a} q_2 \text{ in } \mathcal{A}_L.$$

We compute  $pre^*[L](C)$  as follows. Let  $C'$  be the set of configurations  $(q, q_f)w$  in  $\mathcal{P}'$  such that  $qw \in C$  and  $q_f \in F$ . Then  $pre^*[L](C)$  is obtained by computing  $pre^*(C') \cap (P \times I) \times \Gamma^*$ .

2. Suppose that  $C$  is given by a finite automaton  $\mathcal{A}_C$  having  $n_C$  states. The initial  $\mathcal{P}$ -automaton corresponding to  $C'$  has at most  $n_C n_L$  states and the size of the transition system of  $\mathcal{P}'$  is at most  $|\Delta'| = |\Delta| |\Delta_L|$ . Thus the time taken to compute the  $\mathcal{P}$ -automaton for  $pre^*(C')$  is  $n_C^2 n_L^2 |\Delta| |\Delta_L|$ . One then just needs to restrict the initial states for this  $\mathcal{P}$ -automaton to those in  $P \times I$ .

## 2 Dickson's Lemma

1. Easy.
2. First note that  $(\mathbb{N}, \leq)$  is a wqo:  $\leq$  is a total ordering over  $\mathbb{N}$ , thus  $n_i \not\leq n_j$  implies  $n_i > n_j$ , and any strictly decreasing sequence  $n_0 > n_1 > \dots$  over  $\mathbb{N}$  is finite of length at most  $n_0 + 1$ .

The set  $(\mathbb{N} \uplus \{\omega\}, \leq)$  is also totally ordered. Consider a sequence  $n_0 > n_1 > \dots$  over  $\mathbb{N} \uplus \{\omega\}$ . If for some index  $i$ ,  $n_i = \omega$ , then  $i = 0$  and for all  $j > 0$ ,  $n_j < \omega$ , thus the sequence  $n_1 > n_2 > \dots$  is over  $\mathbb{N}$  and is finite.

3. We start with the following claim.

**Claim 1.** *Let  $(a_n)_{n \geq 0}$  be a sequence that does not contain any non-decreasing subsequence. There exist  $i_0 < i_1 < i_2 < \dots$  such that  $a_{i_0} \not\leq a_{i_k}$  for all  $k$ .*

*Proof.* We suppose on the contrary that for all  $i_0 < i_1 < \dots$ , there exists  $k > 0$  such that  $a_{i_0} \leq a_{i_k}$ . We can then easily construct a non-decreasing subsequence  $a_{j_0} \leq a_{j_1} \leq \dots$  inductively: starting with  $a_{j_0} = a_0$ , given  $a_{j_\ell}$ , we can always find  $a_{j_{\ell+1}}$  such that  $a_{j_\ell} \leq a_{j_{\ell+1}}$ . This contradicts the condition that there is no non-decreasing subsequence of  $(a_n)_{n \geq 0}$ .  $\square$

Suppose  $(a_n)_{n \geq 0}$  is a sequence that does not contain any non-decreasing subsequence. We will construct an infinite sequence that violates the wqo condition. A first application of the claim gives a subsequence  $(a_{i_j})_{j \geq 0}$  such that  $a_{i_0} \not\leq a_{i_j}$  for all  $j > 0$ . As  $(a_{i_j})_{j \geq 0}$  cannot be non-decreasing we apply the claim once again to  $(a_{i_j})_{j \geq 1}$  (note the  $j \geq 1$  instead of  $j \geq 0$ ), and so on. This gives us a way of obtaining a non-decreasing subsequence of  $(a_n)_{n \geq 0}$ ; a contradiction.

*Alternative proof that is direct:* Fix the infinite sequence  $a_0 a_1 \dots$  and consider the set  $M = \{i \in \mathbb{N} \mid \forall j > i, a_i \not\leq a_j\}$ . If  $M$  were infinite, then the infinite sequence  $a_{i_0} a_{i_1} \dots$  for  $i_0 < i_1 < \dots$  in  $M$  would verify in particular  $a_{i_j} \not\leq a_{i_k}$  for all  $j < k$ , contradicting the fact that  $(A, \leq)$  is a wqo. Thus  $M$  is bounded and any  $a_i$  with  $i > \max M$  selects an element  $a_j$  with  $j > i > \max M$  and  $a_i \leq a_j$ , i.e. can start an infinite increasing subsequence.

4. For any sequence  $((a_n, b_n))_{n \geq 0}$ , by the previous question, we can choose a subsequence  $((a_{i_n}, b_{i_n}))_{n \geq 0}$  such that  $(a_{i_n})_{n \geq 0}$  is non-decreasing. Finally by the definition of a wqo, one can find  $m, \ell$  such that  $b_{i_m} \leq b_{i_\ell}$ .

### 3 Data-flow Analysis

1. We show how to convert the given example into a pushdown system. The general approach follows the same idea.

$$\begin{array}{ll}
 \langle s, e_{init} \rangle \xrightarrow{x=5} \langle s, n_1 \rangle & \langle s, e_p \rangle \xrightarrow{y=2x} \langle s, n_3 \rangle \\
 \langle s, n_1 \rangle \xrightarrow{call(p)} \langle s, e_p n_2 \rangle & \langle s, n_3 \rangle \xrightarrow{x=0} \langle s, x_p \rangle \\
 \langle s, n_2 \rangle \xrightarrow{skip} \langle s, x_{init} \rangle & \langle s, x_{init} \rangle \xrightarrow{return} \langle s, \varepsilon \rangle \\
 \langle s, n_1 \rangle \xrightarrow{skip} \langle s, x_{init} \rangle & \langle s, x_p \rangle \xrightarrow{return} \langle s, \varepsilon \rangle
 \end{array}$$

2. We can construct a regular automaton from the flow graph as follows. The set of states is  $N$ . The set of transitions is initially given by the edges in  $E$ . We then modify it as follows: For edges in  $E$  of the form  $(n_1, call(p), n_2)$ , we remove the transition  $n_1 \xrightarrow{call(p)} n_2$  and add the transitions  $n_1 \xrightarrow{call(p)} e_p$  and  $x_p \xrightarrow{\varepsilon} n_2$ . This corresponds to our intuition for how a program execution proceeds. Finally, the node  $n$  is defined as the initial state and  $n'$  is defined as the final state of the automaton.

The language of the automaton intersected with the language  $(A \setminus D_v)^* R_v$  describes the sequences of actions that can happen between  $n$  and  $n'$ .

3. Let  $L_{n,n'}$  be the regular language obtained in the last question. Define  $L = \bigcup_{n,n' \in N} L_{n,n'}$ . Then the set of nodes where  $n$  is live is obtained from  $pre^*[L](Q \times \Gamma^*)$  by determining the initial states of its  $\mathcal{P}$ -automaton from which the final states are reachable.